PTO/SB/21 (08-00)

PIO/SB/21 (U8-JU)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office of information unless it displays a valid OMB control number.

er the Paperwork Reduction	UII ACI CI 1000, 110 PC			09/857,606	
		Application Number			
TRANSMITTAL			Filing Date	June 8, 2001	
to be used for all correspondence after initial filing) SEP 1 8 2001 Stall Number of Pages in Table Submission		First Named Inventor	Mats Dählback		
		Group Art Unit	1742		
		Examiner Name			
		Attorney Docket Number	19378.0011		
Mer of Pages In	MIS SUDITISSION		OSURES (check all that apply)		
□ Sta		Stater	ment Claiming Small Entity	After Allowance Communication to Group	
		Status Drawi		Appeal Communication to Board of Appeals and Interferences	
Fee Attached Amendment / Response		_	sing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
- \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		Petiti	ion Routing Slip (PTO/SB/69) Accompanying Petition	Proprietary Information	
Affidavits/declaration(s)		│	ion to Convert to a risional Application	Status Letter	
Extension of Time Request		Pow	er of Attorney, Revocation ange of Correspondence Address	Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO-1449, 9 references, International Search Reports	
		ł	minal Disclaimer	PTO-1449, 9 references International Search Report. International-Type Search	
Express Abandonment Request		1	quest for Refund	Report	
Information Disclosure Statement			Number of CD(s)		
Certified Copy of Priority Document(s)		Re	Remarks		
Response to Missing Parts/ Incomplete Application				SEP 2 0 2001	
Response to Missing Parts under 37 CFR				SEP 2 0 2001 TC 1700	
1.52 or 1.53	SIGN	ATURE	OF APPLICANT, ATTORNEY,	OR AGENT	
Firm	Eric J. Franklin,				
or Swidler Berlin Shereff Fried			dman, LLP		
Individual name					
Signature Cu Culture					
Date	September / 8	September / X, 2001			
			CERTIFICATE OF MAILING		
nereby certify that t	his corresponden	ce is being	deposited with the United States I	Postal Service as first class mail in an envel	
ddressed to: Assis	tant Commissione	er for Pater	nts, Washington, D.C. 20231 on th	is date.	
Typed or printed name					
ryped or printed nar				Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty Docket: 19378.0011 SEP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mats Dahlbäck et al.

Application No. 09/857,606

Filed: June 8, 2001

For: ZIRCONIUM BASED ALLOY AND COMPONENT IN A NUCLEAR ENERGY PLANT Art Unit: 1742

Examiner: -

RECEIVED
TO 1700

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

SEP 20 2001 TO TO

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The relevance of the non-English references is indicated on the enclosed copy of the International-Type Search Report for the priority application and the International Search Report for the PCT application. An English language abstract is provided for French patent 2 769 637 and U.S. patent 6,125,161 is believed to correspond to French patent 2 769 637. Additionally, U.S. patent 5,023,048 is believed to correspond to European patent 0 380 381.

These documents are being submitted (check only one of the next four boxes):

[] within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

Atty Docket: 19378.0011

[x] before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);

[] following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

<u>OR</u>

- [] following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).
- The Commissioner is hereby authorized to charge Deposit Account 19-5127, Order No. _____ in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).
- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.
- [] The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127, Order No. 19378.0011. A duplicate copy of this authorization is attached for the Finance Branch.

Date: 9/18/01

Respectfully submitted,

Eric J. Franklin, Reg. No. 37,134

Swidler Berlin Shereff Friedman, LLP

3000 K Street, N.W., Suite 300

Washington, D.C. 20007-5116

202 424-7500